

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Dolores Garcia Gravalos et al. **Group Art Unit:** To Be Assigned
Serial No. : To Be Assigned **Examiner** : To Be Assigned
Filing Date : Enclosed herewith
For : NEW INDOLOCARBAZOLE ALKALOIDS FROM
A MARINE ACTINOMYCETE

Commissioner for Patents
Box PCT
Washington, D.C. 20231
Attention: DO/EO/US

PRELIMINARY AMENDMENT

Sir:

Prior to the substantive examination of the subject application please amend thereof as follows:

In the claims:

✓
Please amend claims 12 and 15-18 as follows. (A marked-up version of the claims is presented on pages 1-2 of the Appendix 1 attached herewith).

✓
12 (Amended). A process for the production of a compound of formula (1) as define in claim 1, or a pharmaceutically acceptable salt thereof, comprising cultivating a strain of a microorganism capable of producing a compound of formula (1), recovering the compound of formula (1) from